

PTO/SB/08A (08-09)

Approved for use through 07/31/2006. OMB 0551-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
1100 L Street, NW, Washington, DC 20591-0000 • (800) 773-8777 • (202) 707-7600

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449 MTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete If Known

| | |
|------------------------|-------------------|
| Application Number | 10/702,303 |
| Filing Date | November 6, 2003 |
| First Named Inventor | Arnold R. Leiboff |
| Art Unit | 3763 |
| Examiner Name | |
| Attorney Docket Number | 461-1008 |

Sheet 1

11

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

| | | | |
|--------------------|------------------|-----------------|------------|
| Examiner Signature | /Deanna K. Hall/ | Date Considered | 08/09/2007 |
|--------------------|------------------|-----------------|------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.2). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if, possible. ⁵Applicant is to place a check mark here if English language is the language of the document.

Translation is attached.
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

or for Patients, P.O. Box 1450, Alexandria, VA 22313-1450.
You can call or write in, or mail the form, call 1-800-PTO-0190 (1-800-776-0190) and select option 2.

| | | | |
|--|------------------------|--|-------------------|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Not for submission under 37 CFR 1.99)</i> | Application Number | | 10702303 |
| | Filing Date | | 2003-11-06 |
| | First Named Inventor | | Arnold R. Leiboff |
| | Art Unit | | 3763 |
| | Examiner Name | | |
| | Attorney Docket Number | | 129.461.1008 |

| U.S.PATENTS | | | | | | Remove |
|-------------------|---------|---------------|------------------------|------------|---|--|
| Examiner Initial* | Cite No | Patent Number | Kind Code ¹ | Issue Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear |
| /DKH/ | 1 | 4538622 | | 1985-09-03 | Samson et al. | |
| /DKH/ | 2 | 4991602 | | 1991-02-12 | Amplatz et al. | |
| /DKH/ | 3 | 5916166 | | 1999-06-29 | Reiss et al. | |

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S.PATENT APPLICATION PUBLICATIONS

| Examiner Initial* | Cite No | Publication Number | Kind Code ¹ | Publication Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear |
|-------------------|---------|--------------------|------------------------|------------------|---|--|
| /DKH/ | 1 | 20030102360 | | 2003-06-05 | Eungard et al. | |

If you wish to add additional U.S. Published Application citation information please click the Add button.

FOREIGN PATENT DOCUMENTS

| Examiner Initial* | Cite No | Foreign Document Number ³ | Country Code ² | Kind Code ⁴ | Publication Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear | T5 |
|-------------------|---------|--------------------------------------|---------------------------|------------------------|------------------|---|--|--------------------------|
| /DKH/ | 1 | EP0410557 | US | | 1991-01-30 | Gomringer | | <input type="checkbox"/> |

| | | |
|--|------------------------|-------------------|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) | Application Number | 10702303 |
| | Filing Date | 2003-11-06 |
| | First Named Inventor | Arnold R. Leiboff |
| | Art Unit | 3763 |
| | Examiner Name | |
| | Attorney Docket Number | 129.461.1008 |

| | | |
|--|------------------|---|
| If you wish to add additional Foreign Patent Document citation information please click the Add button <input type="button" value="Add"/> | | |
| NON-PATENT LITERATURE DOCUMENTS <input type="button" value="Remove"/> | | |
| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. |
| | 1 | |
| If you wish to add additional non-patent literature document citation information please click the Add button <input type="button" value="Add"/> | | |
| EXAMINER SIGNATURE | | |
| Examiner Signature | /Deanna K. Hall/ | Date Considered 08/09/2007 |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | |
| <small> ¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached. </small> | | |

| FORM PTO-1449 (REV. 7-80) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | | | | | | ATTY. DOCKET NO.: 461.1008 | | SERIAL NO.: Not yet known | | | |
|---|------------------|---|---|---|---|---|---|-------------------------------|---------------------------------|------------------|---------------------------|----------|----------------------------|----|
| LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary) | | | | | | | | | APPLICANT(S): Arnold R. LEIBOFF | | | | | |
| | | | | | | | | | FILING DATE: Simultaneously | | GROUP: Not yet known | | | |
| | | | | | | | | | | | | | | |
| U.S. PATENT DOCUMENTS | | | | | | | | | | | | | | |
| *EXAMINER INITIAL | | DOCUMENT NUMBER | | | | | | | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | |
| /DKH/ | AA | 4 | 6 | 3 | 7 | 8 | 1 | 4 | 01/1987 | Leiboff | 604 | 27 | | |
| | AB | 5 | 3 | 2 | 5 | 8 | 5 | 5 | 07/1994 | Daghigian et al. | 128 | 653.1 | | |
| | AC | 5 | 4 | 4 | 3 | 4 | 4 | 5 | 08/1995 | Peters et al. | 604 | 27 | | |
| | AD | 5 | 4 | 8 | 6 | 1 | 8 | 2 | 01/1996 | Nakao et al. | 606 | 114 | | |
| | AE | 5 | 7 | 4 | 6 | 6 | 9 | 2 | 05/1998 | Bacich et al. | 600 | 104 | | |
| | AF | 5 | 9 | 8 | 4 | 8 | 7 | 8 | 11/1999 | Engelson | 600 | 585 | | |
| | AG | 0 | 1 | 5 | 6 | 4 | 5 | 4 | 10/2002 | Reydel | 604 | 500 | | |
| | AH | | | | | | | | | | | | | |
| | AI | | | | | | | | | | | | | |
| | AJ | | | | | | | | | | | | | |
| | AK | | | | | | | | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | | | | | | | | |
| | | DOCUMENT NUMBER | | | | | | | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
| | | | | | | | | | | | | | YES | NO |
| /DKH/ | AL | 9 | 1 | 0 | 2 | 4 | 9 | 1 | | WIPO | | | X | |
| /DKH/ | AM | 0 | 0 | 5 | 4 | 6 | 7 | 3 | | WIPO | | | X | |
| | AN | | | | | | | | | | | | | |
| | AO | | | | | | | | | | | | | |
| | AP | | | | | | | | | | | | | |
| OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | | | | | | | |
| /DKH/ | AQ | "Double center, open prospective study to evaluate the technical feasibility, outcome and postoperative course of patients with malignant large bowel occlusion who undergoes preoperative colonic decompression by placement of a Wallstent Enteral", Dr. H.G. Galiana et al., Undated | | | | | | | | | | | | |
| /DKH/ | AR | "Expandable Stents in the Colon", M.S. Mokhashi, Oct., 30, 1998 | | | | | | | | | | | | |
| | AS | | | | | | | | | | | | | |
| EXAMINER | /Deanna K. Hall/ | | | | | | | DATE CONSIDERED 08/09/2007 | | | | | | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.